



Qatar Association of  
Certified Public Accountants  
جمعية المحاسبين القانونيين القطرية



GLOBAL  
COMPLIANCE  
INSTITUTE

الشريك الاستراتيجي

مؤتمر

# الامتثال الفعال في مكافحة الجرائم المالية

## The Effective Financial Crime Compliance Conference



4<sup>th</sup> September 2022



The Ritz-Carlton, Doha



# مقدمة

## Introduction

يعيش العالم متغيرات كثيرة فيما يتعلق بالجرائم المستحدثة ومنها الجرائم المالية والاقتصادية. كما تشهد الجرائم المالية والمصرفية في العالم تطورات كبيرة ونوعية، طور معها مرتكبوها أساليبهم الإجرامية لمواجهة الاستعدادات الأمنية التي اتخذتها البنوك والمؤسسات المالية. ومن جهة أخرى يشكل انتشار الجريمة المنظمة تهديداً لكثير من استقرار الدول. وتسعى دول العالم كافة إلى مواجهة تلك الجرائم وتعمل على دعم القدرات في مجال مكافحة الجريمة بصفة عامة والجرائم المستحدثة بصفة خاصة وإحكام استعمال أساليب البحث والتحقيق في الجرائم المالية والاقتصادية.

ويشكل انتشار الجريمة ذات الدوافع المالية والاقتصادية تهديداً كبيراً لتنمية الاقتصادات العالمية واستقرارها؛ لذا فإن فهم طبيعة هذه الجرائم وأركانها وأثارها والتعرف على كيفية اكتشاف ومنع مخاطر الجرائم المالية المحددة والاحتيال وأمن البيانات والمعلومات والرشوة والفساد وكيفية التحقيق ثم المقاضاة والمساءلة أمر أساسي لأي متخصص في منع الجرائم المالية. ومن هنا برزت وظيفة مكافحة الجرائم المالية مؤخراً نظراً للظروف البيئية المواتية لتلك المتطلبات العصرية، حيث عملت وظيفة مكافحة الجرائم المالية على تقليل حدة جموح المؤثرات السلبية على الأداء وزيادة الأداء وتحسين وظائفه، وبالتالي ضمان الاستمرارية من خلال الحد من المخاطر وإدارتها.

ويأتي هذا المؤتمر إيماناً من جمعية المحاسبين القانونيين القطرية ومعهد الامتثال العالمي GCI أن تقنيات وأساليب الجريمة الحديثة تستدعي تبادل الخبرات والمعارف والتعاون بين الجهات المعنية كافة لمكافحتها، حيث إن مكافحة الجرائم المالية والمصرفية يتطلب تنمية آليات التعاون والشراكة مع الفاعلين المعنيين على المستويات كافة المحلية والإقليمية والدولية، بالإضافة إلى توسيع وتنوع مصادر المعلومات من خلال العمل على مد جسور التنسيق والتعاون بين مختلف قواعد المعلومات المتوفرة لدى الجهات المعنية وعلى رأسها قطاع البنوك.

Our world is experiencing an extraordinary rate of change, with regard to emerging crimes, including financial and economic crimes. There is a wide range of criminal activities which occur in the financial services sector. Financial and banking crimes in the world are also witnessing major and qualitative developments, with which perpetrators have developed their criminal methods to confront the security preparations taken by banks and financial institutions. On the other hand, the spread of organized Crimes has globalized and turned into a security threat targeting the stability of many countries. All world countries seek to confront these crimes and work to support capabilities in the fight against crime in general and newly created crimes in particular, and to tighten the use of research and investigation methods in financial and economic crimes.

For these reasons, economic and financial crimes pose a serious long-term threat to peaceful and socio-economic development in many countries. Therefore, understanding the nature, elements, and effects of these crimes, how to detect and prevent specific financial crime risks, fraud, data, and information security, bribery and corruption become necessary for all. You should understand how to investigate, prosecute and hold accountable is essential for any financial crime prevention specialist. Hence, the function of combating financial crimes has emerged recently due to the environmental conditions that keep pace with these modern requirements, as the function of combating financial crimes has worked to reduce the severity of negative influences on performance, increase performance and improve its functions, thus ensuring continuity by reducing and managing risks.

This conference comes into effect, as Qatar Association of Certified Public Accountants (QCPA) believes that modern crime techniques and methods require the exchange of experiences, skills, knowledge and cooperation between all concerned parties to combat these phenomena. Precautions and fight against financial and banking crimes requires the development of cooperation and partnership mechanisms with relevant concerned at all local, regional and international levels. This in addition to expanding and diversifying sources of information. Because Building Bridges helps span separations between teams, departments, divisions or organizations, by providing tools for successful collaboration, especially the banking sector.

# المحاور

## Conference Themes & Topics

دور الامتثال الفعال في مكافحة الجرائم المالية

The role of effective compliance in combating financial crimes

التحولات الاقتصادية وأثرها في تنامي الجرائم المالية وضبط الاحتيال

Introducing the nature of financial crimes in terms of concept, elements, and their impact on the stability of financial systems.

استراتيجية الشمول المالي في ظل مكافحة الجرائم المالية والخدمات الرقمية

Financial inclusion strategy in light of combating financial crimes and digital services

السلطات الرقابية ومكاتب التحريات المالية ودورها في مكافحة الجرائم المالية

Economic transformations and their impact on the growth of financial crimes and fraud controls.

الابتكارات والتقنيات المالية وأثرها على الجرائم المالية وإدارة مخاطرها

Financial innovations and technologies and their impact on financial crime and risk management

حماية نزاهة واستقرار الأنظمة المالية من خلال السلطات الرقابية والتحريات

Regulatory authorities / financial investigation offices and their role in combating financial crimes.



## الأهداف Objectives

يستعرض المؤتمر طبيعة الجرائم المالية من حيث المفهوم والأركان وأثرها على استقرار الأنظمة المالية والطرق العملية لمواجهتها والتعامل معها وتحديات الامتثال ومكافحة الجرائم المالية. ودور السلطات الرقابية والإشرافية ووحدات التحريات المالية في مكافحة الجرائم المالية، من حيث التتبع والمصادرة، واستمرار تطور القوانين واللوائح وأفضل الممارسات المتبعة في هذا المجال، إضافة إلى أهمية حماية البيانات في المؤسسات المالية والأمن السيبراني، واستخدام تقنيات الذكاء الاصطناعي في الحد من مخاطر عدم الامتثال.

The conference reviews the nature of financial crimes in terms of concept and elements, their impact on the stability of financial systems, practical ways to confront and deal with them, compliance challenges and combating financial crimes. The role of regulatory and supervisory authorities and financial investigation units in combating financial crimes, in terms of tracking and confiscation, the continued development of laws and regulations and best practices followed in this field, in addition to the importance of data protection in financial institutions and cybersecurity, and the use of artificial intelligence techniques to reduce the risks of non-compliance.

## الجهات المستهدفة

### Target Audience

يستهدف هذا المؤتمر المهنيين والعاملين في مجال الخدمات المصرفية والمالية من مصرف قطر المركزي والبنوك، بما في ذلك موظفي الالتزام ومسؤولي الإبلاغ عن غسل الأموال، وهيئة قطر للأوراق المالية، ووحدة المعلومات المالية، واللجنة الوطنية لمكافحة غسل الأموال وتمويل الإرهاب، وهيئة الرقابة الإدارية والشفافية، والعاملين في الجهات التنظيمية، وإنفاذ القانون، ووزارة التجارة والصناعة، ومنظمات المجتمع المدني.

This conference targets professionals, Banking industry leaders, financial-services specialists sector from Central Bank of Qatar and banks, including compliance officers and money laundering reporting officials, the Qatar Securities Authority, the Financial Information Unit, the National Anti-Money Laundering and Terrorist Financing Committee, the Administrative Control and Transparency Authority, and workers In regulators, law enforcement, the Ministry of Trade and Industry, and civil society organizations.



# المتحدثين

## Speakers

يستقطب المؤتمر خبراء ومتحدثين من معهد الامتثال العالمي GCI والقطاع المصرفي والمتخصصين في مكافحة الجرائم المالية؛ بهدف تبادل الآراء والخبرات حول أحدث اتجاهات الجرائم المالية، ومناقشة أفضل الممارسات التي يمكن للمنظمات تبنيها في جهودها لمكافحة هذه الجرائم المالية والحد من مخاطرها.

The conference provides the opportunity for policy makers, regulators, experts and business leaders from both the regional and international banking sector and specialists in combating financial crimes. This meeting aims of exchanging views and experiences on the latest trends in financial crime, and discussing best practices those organizations can adopt in their efforts to combat these financial crimes and reduce their risks.

## تنظيم المؤتمر

### Conference Organizers

تشكل جمعية المحاسبين القانونيين القطرية معهد الامتثال العالمي GCI لجنة تتولى كافة الترتيبات الخاصة بالمؤتمر للخروج به في أفضل صورة. بما يتناسب مع مكانة دولة قطر في تنظيم المؤتمرات والفعاليات الكبرى. وقد سبق للجمعية تنظيم العديد من المؤتمرات التي أحدثت أصداءً طيبة على المستويين المحلي والإقليمي. وكان آخرها مؤتمر تكنولوجيا المال فنتك في الشرق الأوسط، الذي نظمته الجمعية تحت الرعاية الكريمة لسعادة الشيخة المياسة بنت حمد بن خليفة آل ثاني رئيس مجلس أمناء متاحف قطر وبشراكة استراتيجية مع هيئة الرقابة الإدارية والشفافية، ومؤتمر «فن الادخار» الذي نظمته الجمعية تحت رعاية السيدة مريم بنت علي بن ناصر المسند وزير التنمية الاجتماعية والأسرة وبشراكة استراتيجية مع مركز الاستشارات العائلية (وفاق)، والمؤتمر الخليجي للجمعيات المهنية والهيئات المحاسبية. وحظيت المؤتمرات بمشاركة محلية وإقليمية ودولية كبيرة.

Qatar Association of Certified Public Accountants (QCPA) shall form a specialized board that undertakes all arrangements for the conference to bring it out in the best way possible. The State of Qatar boasts numerous event venues suitable for conferences, exhibitions, events, and more. Qatar is considered a regional hub for conferences and exhibitions. It hosts many key international conferences on a range of topics from education to finance to social services. QCPA has previously organized various conferences that have generated good responses and coordination and synergy between the different levels of state actors helps to generate action fronts and provides national governments with a broad range.

The most recent of which was the FinTech Middle East Summit 2022, which was organized by QCPA under the generous patronage of Her Excellency Sheikha Al Mayassa bint Hamad Al Thani, Chairperson of the Board of Trustees of Qatar Museums Authority (QMA), and in a strategic partnership with the Administrative Control and Transparency Authority (ACTA), / The "Art of Saving" conference organized by QCPA under the patronage of Her Excellency Mrs. Mariam bint Ali bin Nasser Al-Misnad, Minister of Social Development and The Family, in strategic partnership with The Family Consulting Center (Wifaq) and the Gulf Conference of Professional Societies and Accounting Bodies. The conferences received significant local, regional and international where attendees acquainted with the most significant developments.



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Certified Public Accountants  
جمعية المحاسبين القانونيين القطرية



Qatar Financial Markets Authority  
هيئة قطر للأسواق المالية



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# مؤتمر الامتثال الفعال لمكافحة الجرائم المالية

## The Effective Financial Crime Compliance Conference

The Ritz-Carlton Hotel



10:00 AM



الأحد 4/9/2022



الراعي الذهبي



شركة قطر للإيداع المركزي للأوراق المالية  
QATAR CENTRAL SECURITIES DEPOSITORY

الراعي البلاتيني



Tel : +974 4441 2284 Mob : +974 5044 0980

Email : info@qcpa.org.qa Web : www.qcpa.org.qa



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Certified Public Accountants**  
جمعية المحاسبين القانونيين القطرية



*Qatar Financial Markets Authority*  
هيئة قطر للأسواق المالية

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شركة قطر للإيداع المركزي للأوراق المالية  
QATAR CENTRAL SECURITIES DEPOSITORY



## EVENT DETAILS



**Date**

**4<sup>th</sup> September 2022**

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**Time**

**10:00 AM to 2:00 PM**

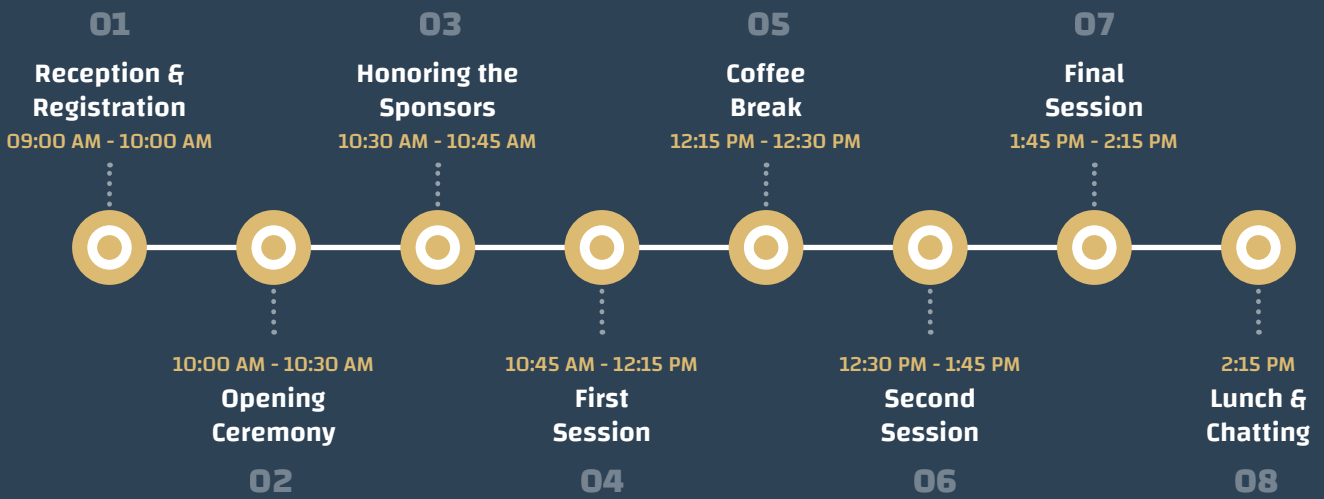
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**Venue**

**The Ritz Carlton  
Doha-Qatar**

## EVENT TIMELINE



**Providing Simultaneous Interpretation/  
Translation throughout the event**

01

## Reception & Registration

09:00 AM - 10:00 AM



02

## Opening Ceremony

10:00 AM - 10:30 AM



**Dr. Hashim Al Sayed**  
Chairman of Board, QCPA

Opening Speech



**Nola Lenane**  
GCI Head of Business Development  
and Management

Opening Speech



**Riadh Al Fayeich**  
Chief Financial Crime  
Compliance & Governance  
QNB Group

Opening Speech

03

## Honoring the Sponsors

10:30 AM - 10:45 AM



04

## First Session

10:45 AM - 12:15 PM



**Martin Woods**  
GCI Chairperson

Stopping Money Laundering -  
It's All About Training,  
Competence and Confidence



**Marcena Hunter**  
Senior Analyst  
Global Initiative Against Transnational  
Organized Crime

Ilicit Gold Flow -  
How the gold trade can be used  
to evade sanctions



**Neil Jeans**  
Financial Crime Compliance &  
Risk Consultant

Global AML Initiatives, the  
FATF and Beyond. Risk and  
opportunities in the  
evolving AML landscape

05

## Coffee Break

12:15 PM - 12:30 PM



06

## Second Session

12:30 PM - 1:45 PM



**Ahmad Tarteer**

Head of Compliance & AML  
Safwa Islamic Bank

Fintech in AML -  
Transaction Monitoring  
vs Customer Risk Rating



**Dr. Reem Al - Ansari**

Experienced Director Of Legal Studies with  
A Demonstrated History Of Working in the  
Higher Education Industry

Prevalent Trends in  
Curbing Financial Crimes



**Dr. Ehab Elsonbaty**

Partner  
DLA Piper  
Chair – US/Middle East Initiative

Legal instruments to combat  
Financial Crimes in Qatar

**07**

## **Final Session**

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**Closing Remark  
and Q & A**

**1:45 PM - 2:15 PM**



**08**

## **Lunch & Chatting**

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**2:15 PM**





**SPEAKERS**  
**BIO**



## Dr. Hashim Al Sayed

Chairman

Qatar Association of Certified Public Accountants (QCPA)

- Chairman of Qatar Association of Certified Public Accountants (QCPA).
- Holds a Ph.D. in Islamic Banking from the University of Wales - Lampeter - United Kingdom.
- Master of Science in Finance (MSF) from the University of Illinois at Urbana-Champaign, USA.
- Registering as an expert within Qatar court's list of approved experts.
- Diverse Long expertise on Banks and corporate management with collective knowledge and expertise.
- Founder of various private companies - joint stock companies - He has created multiple enterprise and individual sponsorship opportunities for administrative and advisory institutions, media facilities and institutions.
- As a researcher, he has published numerous articles in professional journals and many financial and economic books that enrich the Arabic library.
- Participation in workshops, panels, seminar series and opportunities at the premier economic conferences.
- Member of the International Arab Society of Certified Accountants (IASCA).
- Member of the Integrity, Compliance and Internal Audit Committee of the Qatar Red Crescent Society (QRCS).
- Collaborating Professor at Ahmed Bin Mohamed Military College.
- Member of the Certified Compliance Manager (CCM) Certification.
- Writes information and insights on weekly economic update focused around currents shifts and trends in the economy in Al Raya, an Arabic daily newspaper published in Qatar.





## Marcena Hunter

Senior Analyst

Global Initiative Against  
Transnational Organized Crime

Marcena is a Senior Analyst at the Global Initiative Against Transnational Organized Crime, which she has been with since 2012. While Marcena's work has covered a wide scope of material and geographic spread, her current work has focused on gold-related crime, other mineral resources, illicit financial flows and development responses to organized crime. Marcena is also currently a Research Fellow and PhD candidate at the University of Queensland, Sustainable Minerals Institute. Marcena past work includes analysing migration flows, access to justice, and gender issues, as well as providing guidance on security sector and criminal justice reform. Marcena has a JD from Washington and Lee University, and a BA in Political Science from the University of Denver. She is currently based in Australia.



## Riadh Al Fayeck

Chief Financial Crime  
Compliance & Governance  
QNB Group

Riadh Al Fayeck currently serves as Chief Financial Crime Compliance & Governance in QNB Group. He has over 20 years of banking and financial services industry experience and an excellent knowledge of the financial crimes compliance requirements, practices and regulators expectations internationally. He has actively participated in several international regulatory initiatives on combating financial crimes around the world, assisted and provided guidance to many organizations on designing robust FCC frameworks and promoting compliance culture and awareness. Prior to joining QNB, Riadh has worked with Arthur Andersen as financial services expert. He is a CPA, member of the Association of Certified Fraud Examiners (ACFE), Association of Certified Anti-Money Laundering Specialists (ACAMS) and the International Compliance Associations (ICA). In addition, he is a member of MENA Financial Crime Compliance Group (FCCG) in partnership with Union of Arab Banks.



## Martin Woods

GCI Chairperson

Martin Woods has a wealth of experience as a detective who once investigated financial crimes, including global frauds and money laundering. Upon leaving the police service he embarked upon a career as a senior financial crime compliance officer, working for and with a wide variety of firms all over the world. He has provided training to regulators, central banks, law enforcement agencies and regulated firms and has been called upon to provide expertise as well as guidance. He has been highly commended by regulators and is passionate about empowering people to fight financial crime.

He advocates we are all here to Make A Difference and he is always on the look out for those who may want to join his MAD – Make A Difference revolution. He prides himself as an enemy of the money launderer, the fraudster and the terrorist, who uses training to equip, inspire and motivate others.



## Neil Jeans

Financial Crime Compliance  
& Risk Consultant

Neil Jeans has a background in financial crime risk management, spanning over 25 years, including working within Law Enforcement agencies investigating financial crime, including domestic and international fraud and money laundering.

Neil was the founding Joint-Chair of the Association of Certified Anti-Money Laundering Specialists (ACAMS) Australasian Chapter, and is a member of the Chapter's Advisory Board.

Neil runs a specialist AML/CFT consultancy practice providing advice and support to businesses subject to AML/CTF regulation, including undertaking reviews on the adequacy of AML/CTF systems controls and advisory services concerning regulatory compliance and regulatory enforcement activity.

AUSTRAC appointed Neil as their expert witness in the civil claims against CBA and Westpac for AML/CTF breaches, which resulted in CBA paying a settlement of \$700 million in 2018, and Westpac paying a settlement of \$1.3 billion in 2020.



## Ahmad Tarteer

Head of Compliance & AML  
Safwa Islamic Bank

Ahmad Tarteer is a very well-rounded and qualified Compliance and AML expert and accredited trainer and speaker in Compliance and Anti- Financial Crimes.

He is also a leader and influencer in the compliance and AML community, and a well-known expert in many related subjects, especially International Sanctions, FATCA, and CRS. In addition he is expert in AML intelligence and Business Logic Units since he implemented AML solutions and AML System scenarios.



## **Dr. Reem Al-Ansari**

Experienced Director Of Legal Studies with a Demonstrated History of working in the Higher Education Industry

Dr. Reem Al-Ansari is an attorney-professor of law. She has a master's degree in law with a specialization in international financial law from the University of Michigan Law School in Ann Arbor, USA, and a doctorate in international criminal law with a branch AC/AML/CTF/CT from Georgetown University of Law in Washington, DC.

Dr. Al-Ansari has worked at the World Bank Headquarters in the Governance and Anti-Corruption Unit (GAC) and currently directs the Legal Research and Studies Department at the Rule of Law and Anti-Corruption Center in Rolacc- Doha, Qatar.

She is a prominent lawyer as well as a member of the University of Michigan Law School and a legal advisor to the UK White Collar Crime Unit.



## Dr. Ehab Elsonbaty

Partner

DLA Piper

Chair – US/Middle East Initiative

Dr. Ehab Elsonbaty is a Partner in DLA Piper New York Office, and Chair the US/Middle East Practice, he focuses his practice in the area of corporate law, focusing on the Middle East, Gulf countries and Qatar.

Ehab joins from the Qatar Investment Authority (QIA), Qatar's sovereign wealth fund, where he served as senior legal counsel and head of corporate governance and government affairs and senior advisor to the general counsel on special projects from February 2014 to January 2021. He was responsible for strategic, corporate and special projects and transactions valued at billions of dollars as well as was a member of several management committees including the Management Investment Committee and the Crisis Management Committee.

He served for six years as senior legal advisor to the Amiri Diwan, the office of the Amir of Qatar at the Royal Court. During this time, Ehab was also a member of many policy and legal committees, including the Permanent Legislative Committee and the Committee for Review of Legislation of the Council of Ministers, as well as a member of the National Committee to Review Commercial Laws of the Ministry of Economy and Trade. Ehab drafted and contributed to several laws and regulations of Qatar, GCC and Middle East.

He served in the Egyptian public prosecution and judiciary for more than 15 years with his last position as a judge in Cairo's Court of Appeal. He is currently admitted to the Bar and a certified and practicing arbitrator and mediator. He is also a member in the Egyptian Judges Club.

During his service in Egypt he was seconded to the Minister of Justice Office for International Cooperation and Human Rights, Advisor to the Minister of Telecommunication and Information Technology, member of the National Committee for Humanitarian Law, member in the National Committee for Counter Terrorism and the national committee to control the public elections.



Q C P A



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## The Effective Financial Crime Compliance Conference

Tel : +974 4441 2284 Mob : +974 5044 0980

Email : info@qcpa.org.qa Web : www.qcpa.org.qa

